









# Inspection Report

## Section 1: Background Data

Premises Information		Authorized Representative	
License Number		First Name	
License Type		Last Name	
Maximum Canopy		Position/Title of Authorized Rep.	
Address		Verify Identification	Yes <input type="checkbox"/> No <input type="checkbox"/>
City/ZIP		Phone	
County/Jurisdiction		Email	
Assessor Parcel Number (APN)		Cannabis Business Name	
# Licenses on this APN		Inspection Date/Time	
License Renewal Date		Annual <input type="checkbox"/>	Provisional <input type="checkbox"/>

## Section 2: Summary

	Compliant	Non-Compliant
<b>2a. Property</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2b. Premises</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2c. Waste Management</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2d. Pest Management (Cultivation and Nursery only)</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2e. Lighting (Cultivation only)</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2f. Water Source</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2g. Power Source</b>	<input type="checkbox"/>	<input type="checkbox"/>

## Section 3: Inspector

First Name		Other Agencies Present:
Last Name		



# Inspection Report

## Section 4: License Requirements

4a. Property				Yes	No	NA	4c. Waste Management				Yes	No	NA
4a1. License prominently displayed				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4c1. Waste management method (composting, waste pickup, self-haul) matches submitted record / meets requirements				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4a2. Weighing devices approved, registered, and sealed				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4c2. Waste records available				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4a3. Licensed Weighmaster				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4d. Pest Management				Yes	No	NA
4a4. Cal-OSHA training				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4d1. Matches submitted record / meets requirements				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4a5. Matches submitted property diagram / meets requirements				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4e. Lighting Diagram				Yes	No	NA
4b. Premises				Yes	No	NA	4e1. Total number of lights in the canopy area						
<b>Cultivation</b> Yes <input type="checkbox"/> No <input type="checkbox"/>							4e2. Maximum wattage of each light						
4b1. Total canopy square footage within license limit				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Record calculation (average W/sq. ft)						
4b2. Location of canopy matches record				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4e3. Light deprivation used on canopy (prohibited for outdoor licenses)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4b3. Total square footage of canopy matches record				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4e3. Matches submitted record / meets requirements				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Measure and record square footage (aggregate sq. ft)							4f. Water Source				Yes	No	NA
Take GPS polygon / point				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Retail Supplier <input type="checkbox"/>		Groundwater Well <input type="checkbox"/>				
4b4. Pesticide/agricultural chemical storage area (may be shared)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Small Retail Supplier-Diversion <input type="checkbox"/>		Rain Catchment System <input type="checkbox"/>				
4b5. Harvest storage area				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Small Retail Supplier-Well <input type="checkbox"/>		Diversion From Waterbody <input type="checkbox"/>				
4b6. Administrative hold area				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Retail Supplier:						
4b7. Cannabis waste area (may be shared)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Take GPS point of water source location				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4b8. Immature plant area (if applicable)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4f1. Water Source records available (retail supplier only)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4b9. Processing area (if applicable)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4f2. Water source matches submitted record				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4b10. Packaging area (if applicable)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4g. Power Source				Yes	No	NA
<b>Nursery</b> Yes <input type="checkbox"/> No <input type="checkbox"/>							Grid <input type="checkbox"/>	Solar <input type="checkbox"/>	Diesel Generator – under 50 HP <input type="checkbox"/>				
Immature Plant <input type="checkbox"/>		Seed Production <input type="checkbox"/>		Research and Development <input type="checkbox"/>		Other <input type="checkbox"/>		Diesel Generator – 50 HP and greater <input type="checkbox"/>					
Measure and record square footage (aggregate sq. ft)							4g1. AQMD Permit				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4b11. Pesticide/agricultural chemical storage area (may be shared)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4g2. Non-resettable hour-meters present on the generator				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4b12. Cannabis waste area (may be shared)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4g3. Power source matches submitted record				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Processor</b> Yes <input type="checkbox"/> No <input type="checkbox"/>							Hours on generator:		Generator Serial #:				
4b13. Processing area				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4b14. Harvest storage area				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Metr violations observed / referred to enforcement				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4b15. Cannabis waste area (may be shared)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>							
4b16. Packaging area (if applicable)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>							

## Section 5: Photo Checklist

- Driver's License  
 Street View of Premises  
 Posted License  
 Sealed Scales  
 Weighmaster License  
 Canopy / Flowering Area  
 Pesticide Storage Area  
 Harvest Storage Area  
 Administrative Hold Area  
 Waste Area  
 Immature Plant Area  
 On-Site Processing Area  
 Packaging Area  
 Seed Production Area (nursery)  
 Research & Development Area (nursery)  
 Water Source  
 Any Hazards (If Safe to Photograph)

Notes:



# Inspection Report

## Records Checklist

Business Records		Availability	Business Records		Availability		
Current license on-site			Purchase Orders				
Cultivation plan on-site			Sales Invoices				
Bank Statements			Tax Records				
Organization Records		Availability	Organization Records		Availability		
Receipts (from nurseries, processors, distributors)			Pertinent Water Boards Authorizations				
Contracts with other businesses			Pertinent County Authorizations				
Pertinent CDFW Authorizations			Pertinent City Authorizations				
Employee Records		Availability	Employee Records		Availability		
# of employees			ID number (driver's or social)				
List of employee names			Date(s) of employment				
W-4, W-9, I-9 (each)			Non-employee work contracts				
OS = Provided on-site		PI = Provided post-inspection		NP = Not provided		NA = Not Applicable	

## Notes



# Inspection Report

## Section 1: Background Data

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<b>2c. Waste Management</b>	<input type="checkbox"/>	<input type="checkbox"/>
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<b>2e. Lighting (Cultivation only)</b>	<input type="checkbox"/>	<input type="checkbox"/>
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First Name		Other Agencies Present:
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4a2. Weighing devices approved, registered, and sealed				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4c2. Waste records available				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4a3. Licensed Weighmaster				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4d. Pest Management				Yes	No	NA
4a4. Cal-OSHA training				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4d1. Matches submitted record / meets requirements				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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<b>Cultivation</b> Yes <input type="checkbox"/> No <input type="checkbox"/>							4e2. Maximum wattage of each light						
4b1. Total canopy square footage within license limit				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Record calculation (average W/sq. ft)						
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4b3. Total square footage of canopy matches record				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4e3. Matches submitted record / meets requirements				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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4b9. Processing area (if applicable)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4f2. Water source matches submitted record				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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4b12. Cannabis waste area (may be shared)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4g3. Power source matches submitted record				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Processor</b> Yes <input type="checkbox"/> No <input type="checkbox"/>							Hours on generator:		Generator Serial #:				
4b13. Processing area				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Notes:						
4b14. Harvest storage area				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>							
4b15. Cannabis waste area (may be shared)				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>							
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Pertinent CDFW Authorizations			Pertinent City Authorizations				
Employee Records		Availability	Employee Records		Availability		
# of employees			ID number (driver's or social)				
List of employee names			Date(s) of employment				
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## Notes

**CALCANNABIS COMPLIANCE AND ENFORCEMENT BRANCH**

**INSPECTION FORM**

BUSINESS/DBA NAME:		CCL #(s):	
DATE OF ISSUANCE:		ISSUED BY:	

<b>Inspection Status:</b> *Check all that apply	<input type="checkbox"/> Initial Inspection <input type="checkbox"/> Re-Inspection	<input type="checkbox"/> In Compliance <input type="checkbox"/> Notice of Non-Compliance	<input type="checkbox"/> Pending Further Review
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**IF VIOLATIONS WERE FOUND, INDICATE THE AREA OF NON-COMPLIANCE BELOW:**

Item	BPC/CCR	Violation Description	Item	BPC/CCR	Violation Description
1	BPC 26053(a)	Licensee engaged in commercial cannabis activity with an unlicensed person.	16	CCR 8202(f)	Licensee sublet a portion of the licensed premises.
2	BPC 26055(b)	Licensee continued to operate after revocation of state license.	17	CCR 8202(g)	Licensee used light deprivation at a licensed outdoor cultivation site.
3	BPC26060.1(a)	Licensee used a water source that was not identified or permitted on his or her application.	18	CCR 8204(a)	Failure to notify the department in writing within ten (10) calendar days of any changes to any item listed in the application or any of the events pursuant to section 8204(a)(1)-(5) of this chapter.
4	CCR 8100(b)	After January 1, 2018, licensee engaged in commercial cannabis activity prior to obtaining a temporary license.	19	CCR 8204(b)	Failure to submit a new application for a change in business entity type that includes any change of ownership.
5	CCR 8106(a)(1)(B)	Licensee shared area(s) outside the canopy where only immature plants shall be maintained, as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	20	CCR 8204(c)(1)	Failure to notify the department in writing of a penalty or judgment of a criminal conviction or civil judgment rendered against the licensee or any owner within forty-eight (48) hours of receiving a penalty or judgement of a criminal penalty or civil judgement.
6	CCR 8106(a)(1)(D)	Licensee shared processing area(s), as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	21	CCR 8204(c)(2)	Failure to notify the department in writing of a revocation of a local license, permit, or other authorization within forty-eight (48) hours of the revocation.
7	CCR 8106(a)(1)(E)	Licensee shared packaging area(s), as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	22	CCR 8204(c)(3)	Failure to notify the department in writing within 48 hours of receiving an administrative order for violations of labor standards against the licensee or any owner in his or her individual capacity.
8	CCR 8106(a)(1)(I)	Licensee shared area(s) for physically segregating cannabis or nonmanufactured cannabis products subject to administrative hold pursuant to section 8604 of this chapter, as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	23	CCR 8204(c)(4)	Failure to notify the department in writing within 48 hours of a change in the licensee's designated track-and-trace system account manager.
9	CCR 8108	Failure to dispose of cannabis waste as identified in the licensee's approved waste management plan.	24	CCR 8205 first paragraph	Licensee made physical modifications to the licensed premises that materially or substantially altered the licensed premises or use of the licensed premises from the premises diagram originally filed with the license application without receiving prior written approval from the department.
10	CCR 8108	Failure to deposit cannabis waste at a manned, fully permitted solid waste landfill or transformation facility; manned, fully permitted composting facility or manned composting operation; manned, fully permitted in-vessel digestion facility; manned, fully permitted in-vessel digestion operation; or manned, fully permitted chip and grind operation or facility.	25	CCR 8205(b)	Failure to file a request for approval of a premises modification with the department associated with a physical modification of the licensed premises.
11	CCR 8201	Licensee total canopy size on licensed premises exceeded the total allowable canopy size for the license type.	26	CCR 8205(c)	Failure to provide additional documentation requested by the department to evaluate the request for approval of a premises modification.
12	CCR 8202(b)	Failure to obtain a separate license for each premises where the person engaged in commercial cannabis cultivation.	27	CCR 8206(a)	Failure of an owner's successor in interest as specified in section 8206(a) to notify the department within ten (10) calendar days of the death, incapacity, receivership, assignment for the benefit of creditors of an owner, or other event rendering a licensee incapable of performing the duties associated with the license.
13	CCR 8202(c)	Licensee transferred or assigned his or her cultivation license to another person or property.	28	CCR 8207(h)(1)	Failure to move cannabis and nonmanufactured cannabis products to a secure location where access to the cannabis is restricted to the licensee, its employees, and contractors.
14	CCR 8202(d)	Licensee transferred cannabis and nonmanufactured cannabis products from his or her licensed premises to another licensee without using a licensed distributor.	29	CCR 8207(h)(2)	Failure to notify the department, in writing, within twenty-four (24) hours of moving cannabis and nonmanufactured cannabis products and requesting relief pursuant to section 8207 of this chapter.
15	CCR 8202(e)	Failure to prominently display license on licensed premises where it can be viewed by state and local agencies.	30	CCR 8207(h)(3)	Failure to provide the department access to the location where cannabis and nonmanufactured cannabis products were moved pursuant to section 8207 of this chapter.

Item	BPC/CCR	Violation Description	Item	BPC/CCR	Violation Description
31	CCR 8207(h)(4)	Failure to submit, in writing, a request for temporary relief that clearly indicates the statutory and regulatory sections from which relief is being requested, the time period for which the relief is requested, and the reason relief is needed, within ten (10) calendar days of moving cannabis and nonmanufactured cannabis products pursuant to section 8207 of this chapter.	53	CCR 8304(a)-(g)	Failure to comply with specified general environmental protection measures.
32	CCR 8210	Failure to allow the bureau to collect samples for the bureau's own laboratory analysis from cannabis transferred to a licensed distributor.	54	CCR 8305(a) & (b)	Failure to comply with specified renewable energy requirements.
33	CCR 8211	Licensee accepted returns of cannabis plants or nonmanufactured products transferred to another licensee after testing performed pursuant to section 26110 of the Business and Professions Code.	55	CCR 8306(a)-(d)	Failure to comply with specified generator requirements.
34	CCR 8212	Failure to comply with packaging requirements.	56	CCR 8307(a) & (b)	Failure to comply with specified pesticide use requirements.
35	CCR 8212	Failure to comply with labeling requirements.	57	CCR 8308(d)	Failure to dispose of cannabis waste in a secure waste receptacle or in a secured area on the licensed premises.
36	CCR 8213(a)	Failure to use weighing devices approved, tested, and sealed pursuant to chapter 5 (commencing with section 12500) of division 5 of the Business and Professions Code, and registered with the county sealer pursuant to chapter 2 (commencing with section 12240) of division 5 of the Business and Professions Code.	58	CCR 8308(g)(1)(A- D)	Failure to obtain and retain required information from the local agency, waste hauler franchised or contracted by the local agency, or private waste hauler permitted by the local agency that collects and processes the licensee's cannabis waste.
37	CCR 8213(e)	Failure to become licensed as a weighmaster for determining any weight or measurement of cannabis and nonmanufactured cannabis products in accordance with 8213(e) of this chapter.	59	CCR 8308(g)(2)	Failure to obtain and retain a copy of a receipt from the local agency, waste hauler franchised or contracted by the local agency, or private waste hauler permitted by the local agency evidencing subscription to a waste collection service.
38	CCR 8213(e)	Failure to issue weighmaster certificate pursuant to chapter 7 (commencing with section 12700) of division 5 of the Business and Profession Code.	60	CCR 8308(h)	Failure to obtain and retain, for each delivery of cannabis waste by the licensee, a copy of a certified weight ticket, or receipt documenting delivery, prepared by a representative(s) of a solid waste facility receiving self-hauled cannabis waste.
39	CCR 8300(a)	Failure to prohibit cannabis plants maintained outside the designated canopy area from flowering.	61	CCR 8308(i)	Failure to use track-and-trace system and documentation required pursuant to sections 8405 and 8406 of this chapter to ensure the cannabis waste materials are identified, weighed, and tracked while on the licensed premises and when disposed of pursuant to subsections (f), (g), (h), and (i) of section 8308.
40	CCR 8300(a)	Failure to move flowering cannabis plants located outside the designated canopy area(s) to the designated canopy area(s) without delay and report the movement and UID tagging in the track-and-trace system.	62	CCR 8401	Failure to prepare a sales invoice or receipt for every sale or transport of cannabis or nonmanufactured cannabis product to another licensee.
41	CCR 8300(b)	Failure to properly apply UIDs to cannabis plants used for seed production pursuant to section 8403 of this chapter.	63	CCR 8402(a)	Failure to accurately and completely enter data and information into the track-and-trace system.
42	CCR 8300(c)	Licensee propagating immature plants for distribution or seed for distribution without a nursery license.	63	CCR 8402(c)	Failure to identify an owner in the licensee's organization to be the licensee's track-and-trace system account manager.
43	CCR 8300(d)	Licensee processed cannabis on the licensed premises in an area(s) not designated for processing as identified on his or her approved cultivation plan.	64	CCR 8402(c)(1)	Failure of the licensee's designated track-and-trace system account manager to complete track-and-trace system training as required by the department.
44	CCR 8300(d)	Processing cannabis on licensee's premises without compliance to packaging or labeling requirements pursuant to section 8212 of this chapter.	65	CCR 8402(c)(2)	Failure to properly train all track-and- trace system users before the users were permitted to access the track-and-trace system.
45	CCR 8301	Failure to properly apply UIDs to mature cannabis plants used for seed production pursuant to section 8403 of this chapter.	66	CCR 8402(c)(3)	Failure to maintain an accurate and complete list of all track-and-trace system users and to update the list immediately when changes occurred.
46	CCR 8301	Licensee allowed cannabis products to enter the commercial distribution chain	67	CCR 8402(c)(4)	Failure to cancel a track-and-trace system user account within three (3) calendar days when that individual is no longer a representative of the licensee.
47	CCR 8302	Licensee conducted research and development in areas that were not identified in his or her approved cultivation plan.	68	CCR 8402(c)(5)	Failure to correct data entered into the track-and-trace system in error within three (3) calendar days of discovery of the error.
48	CCR 8302	Failure to properly tag with a UID mature plants maintained in the area on the licensed premises designated for research and development.	69	CCR 8402(c)(6)	Failure to notify the department immediately for any loss of access that exceeds three (3) calendar days.
49	CCR 8302	Licensee allowed cannabis and nonmanufactured cannabis products from the research and development area to enter the commercial distribution chain.	70	CCR 8402(e)	Failure to prepare and maintain comprehensive records detailing all tracking inventory activities which occurred during a loss of access to the track-and-trace system for any reason.
50	CCR 8303(a)	Failure to adhere to track-and-trace requirements for aggregation of cannabis products pursuant to sections 8405 and 8406 of this chapter.	71	CCR 8402(e)(1)	Failure to enter all inventory tracking activities that occurred during a loss of access to the track-and-trace system within three (3) calendar days of the loss of access.
51	CCR 8303(b)	Failure to adhere to product packaging and labeling requirements, pursuant to section 8212 of this chapter, for nonmanufactured cannabis products.	72	CCR 8402(e)(2)	Failure to document the date and time when licensee lost access to the track- and-trace system, the cause of the loss, and when access was restored.
52	CCR 8303(c)	Processor licensee cultivated cannabis plants on his or her licensed premises.	73	CCR 8402(e)(3)	Licensee transferred cannabis or nonmanufactured products to a distributor without having access to the track-and-trace system.

Item	BPC/CCR	Violation Description	Item	BPC/CCR	Violation Description	
74	CCR 8403(a)	Failure of the licensee's designated account manager to request UIDs as prescribed by the department pursuant to Article 5 of this chapter.	95	CCR 8405(a)	Failure to report through the track-and- trace system any and all transfers of cannabis or nonmanufactured cannabis products to another licensee prior to movement of the cannabis or nonmanufactured cannabis products off the licensed premises.	
75	CCR 8403(a)(1)	Failure to use only UIDs provisioned and distributed by the department or the department's designee.	96	CCR 8405(b)	Failure to report through the track-and- trace system any and all receipt or rejection of cannabis or nonmanufactured cannabis products received or rejected by the licensee on his or her licensed premises from another licensee within twenty-four (24) hours of receipt or rejection of the products.	
76	CCR 8403(a)(2)	Failure to maintain a sufficient supply of UIDs to support tagging requirements.	97	CCR 8405(c)(1)-(5)	Failure to report in the track-and-trace system information related to the disposition of cannabis and nonmanufactured cannabis products on the licensed premises within three (3) calendar days of the change in disposition.	
77	CCR 8403(a)(3)	Failure to use track-and-trace system to document receipt of provisioned and distributed UIDs within three (3) calendar days of physical receipt of the UIDs by the licensee.	98	CCR 8405(d)(1)-(9)	Licensee failed to record all required information for each transfer of cannabis or nonmanufactured cannabis products to, or cannabis or nonmanufactured cannabis products received from, other licensed premises.	
78	CCR 8403(b)(1)	Failure to properly assign a UID to each lot of immature plants.	99	CCR 8405(f)	Annual licensee failed to report all commercial cannabis activity the annual licensee conducted with temporary licensees in the track-and- trace system pursuant to section 8401 of this chapter.	
79	CCR 8403(b)(1)	Licensee had more than one hundred (100) immature plants in a lot.	100	CCR 8406(a)	Failure to reconcile all on-premises and in-transit cannabis or nonmanufactured cannabis product inventories at least once every thirty (30) calendar days.	
80	CCR 8403(b)(1)	Failure to keep immature plants contiguous to one another to facilitate identification of the immature lot by the department.	101	CCR 8406(b)	Failure to record the net weight of all harvested cannabis once all drying and curing activities have been completed, or within sixty (60) calendar days from the initial harvest date, whichever is sooner.	
81	CCR 8403(b)(1)	Failure to label each plant in an immature lot with a label containing the UID number assigned to the immature lot by the licensee.	102	CCR 8406(c) & (d)	Failure to close out physical inventory of all cannabis, nonmanufactured cannabis products, and UIDs in the track-and-trace system.	
82	CCR 8403(b)(2)	Licensee failed to properly apply UID to immature plants transported from a licensed nursery, via a licensed distributor, to a licensed cultivation site.	103	CCR 8406(e)	Failure to record all transfers and sales pursuant to section 8401 and 8405 of this chapter.	
83	CCR 8403(b)(2)	Licensee failed to properly apply UID to immature plants intended for retail sale.	104	CCR 8407(a)	Within 30 calendar days of receipt of UIDs ordered pursuant to section 8403 licensee failed to assign and apply UIDs to each existing immature lot, individual mature plant, and all nonmanufactured cannabis products physically located on the licensed premises on the date of license issuance. (This section shall remain in effect until July 1, 2019.)	N/A
84	CCR 8403(b)(3)	Failure to apply UID to all individual plants at the time the plants were moved to the designated canopy area identified in the licensee's approved cultivation plan or when individual plants began flowering, as defined in section 8000(l) of this chapter.	105	CCR 8407(b)	Within 30 calendar days of receipt of UIDs ordered pursuant to section 8403 licensee failed to enter in the track-and- trace system the information associated with each existing immature lot, individual mature plant, and nonmanufactured cannabis products physically located on the licensed premises. (This section shall remain in effect until July 1, 2019.)	N/A
85	CCR 8403(b)(3)	Licensee applied UID to an individual plant that was not associated with the UID for the lot of immature plants from which it was derived.	106	CCR 8409	Failure to notify the department and law enforcement authorities within three (3) calendar days of discovery of any diversion, theft, loss of, or criminal activity related to licensee's cannabis or nonmanufactured cannabis products.	
86	CCR 8403(b)(4)	Licensee failed to properly place and maintain the required UID on each mature plant.	107	CCR 8501(c)(1)	Applicant, licensee, its agent, or employees denied the department access to the licensed premises.	
87	CCR 8403(b)(4)	Licensee removed UID from the mature plant to which it was attached and assigned prior to the plant being harvested, destroyed, or disposed.	108	CCR 8501(c)(2)	Licensee provided false or misleading statements.	
88	CCR 8403(c)	Failure to assign a unique harvest batch name that is associated with all UIDs for each individual plant, or portion thereof, contained in the harvest batch.	109	CCR 8501(c)(3)	Licensee provided false, falsified, fraudulent, or misleading documents and records.	
89	CCR 8403(d)	Failure to assign a UID to cannabis and nonmanufactured cannabis products corresponding to the unique harvest batch name from which the cannabis and nonmanufactured cannabis products were derived.	110	CCR 8501(c)(4)	Failure to provide records, reports, and other supporting documents.	
90	CCR 8403(e)	Failure to retire UIDs in the track-and- trace system associated with the destruction or disposal of cannabis or nonmanufactured cannabis products within three (3) calendar days of the destruction or disposal.	111	CCR 8604(d)(3)	Failure to physically segregate all designated cannabis or nonmanufactured cannabis products subject to hold within twenty-four (24) hours of receipt of the notice of administrative hold.	
91	CCR 8404(b)	Failure to obtain a unique track-and- trace system user log-on for each user entering information into the track-and-trace system.	112	CCR 8604(d)(4)	Licensee sold, donated, transferred, transported, or destroyed cannabis or nonmanufactured cannabis products subject to hold.	
92	CCR 8404(b)	Licensee, designated account manager, or track-and-trace system user used or shared his or her unique log-on with another person.	113	CCR 8604(d)(5)	Failure to put all cannabis and nonmanufactured cannabis products on hold into separate batches.	
93	CCR 8404(c)	Licensee, account manager, user, employee, or agent misrepresented or falsified data and information entered into the track-and-trace system.	114	CCR 8604(d)(6)	Failure to identify in the track-and-trace system cannabis or nonmanufactured cannabis products subject to an administrative hold which were voluntarily surrendered by the licensee.	
94	CCR 8404(d)	Failure to monitor notifications and/or resolve issues included in the notification in the time frame specified in the notification.				



<b>Inspection Report CCR Guide</b>  		§8106 c	<b>Processor</b>	
			§8106 c1	2b13. Processing area
			§8106 c	Measure and record square footage
			§8106 c5	2b14. Harvest storage area
§8105	<b>2a. Property</b>	§8106 c4,6	2b15. Cannabis waste area (may be shared)	
§8202 e	2a1. License prominently displayed	§8106 c2	2b16. Packaging area (if applicable)	
§8213 a	2a2. Weighing devices approved, registered, and sealed	§8108	<b>2c. Waste Management</b>	
§8213 e	2a3. Licensed Weighmaster	§8108 a-d	Matches submitted record	
§8102 bb	2a4. Cal-OSHA training	§ 8308	Waste record	
§ 8105	2a5. Property diagram	§8106 a3	<b>2d. Pest Management</b>	
§8106	<b>2b. Premises</b>	§8106 a3A-B	2d1. Pest management plan	
§8106 a	<b>Cultivation</b>	§8106 a2	<b>2e. Lighting Diagram</b>	
§8201	2b1. Total canopy within license limit	§8106 a2A	2e1. Total number of lights in canopy area	
§8106 a1A	2b2. Total square footage	§8106 a2B	2e2. Maximum wattage of each light	
§8201	Measure and record square footage	§8000 t	Record calculation	
§8106 a1C	2b3. Pesticide/agricultural chemical storage area (may be shared)	§8106 a2A	Matches submitted record	
§8106 a1H	2b4. Harvest storage area	§8107	<b>2f. Water Source</b>	
§8106 a1I	2b5. Administrative hold area	§8107 a1	Retail Supplier	
§8106 a1F,G	2b6. Cannabis waste area (may be shared)	§8107 a2A	Small Retail Supplier-Diversion	
§8106 a1B	2b7. Immature plant area (if applicable)	§8107 a2B	Small Retail Supplier-Well	
§8106 a1D	2b8. Processing area (if applicable)	§8107 b	Groundwater Well	
§8106 a1E	2b9. Packaging area (if applicable)	§8107 c	Rain Catchment System	
§8106 a1J	2b10. Designated shared areas (if applicable)	§8107 d	Diversion From Waterbody	
§8106 b	<b>Nursery</b>	§8107	Matches submitted record	
§8106 b1Di	Immature Plant	§8306	<b>2g. Power Source</b>	
§8106 b1Dii	Seed Production	§8400	<b>Page 3 Record Retention</b>	
§8106 b1E	Research and Development			
§8106 b1	Measure and record square footage	 <b>Telephone:</b> (833) 225-4769 <b>Email:</b> calcannabis@cdfa.ca.gov <b>Website:</b> calcannabis.cdfa.ca.gov		
§8106 b1A	2b11. Pesticide/agricultural chemical storage area (may be shared)			
§8106 b1C,B	2b12. Cannabis waste area (may be shared)			

Excluding highlighted rows, all the violations in the following table were pulled directly from Table A of the regulations (8601(c)). **Yellow highlighted** entries were written to address violations observed during inspections since suitable violations were not originally included in the regulations table. Table 1 includes all violations likely to be included in Notices of Non-Compliance issued after routine compliance inspections. Table 2 includes all other violations that were originally included in the regulations, but which are unlikely to be relevant to compliance inspectors. Violations should be issued with a 30-day correction deadline unless otherwise noted; violations that exceed license limitations or interfere with Metrc tracking should be issued with a 3-day correction deadline.

**Table 1: Inspection-related violations**

Area	Observed Violation	Violation Description	Type	Authority	Correction Deadline
General	Valid license not prominently displayed	Failure to prominently display license on licensed premises where it can be viewed by state and local agencies.	Minor	BPC 26031 3 CCR 8202(e)	30 days
	No valid Weighmaster License	Failure to become licensed as a weighmaster for determining any weight or measurement of cannabis and nonmanufactured cannabis products in accordance with 8213(e) of this chapter.	Minor	BPC 26031 3 CCR 8213(e)	30 days
	No sealed scales	Failure to use weighing devices approved, tested, and sealed pursuant to chapter 5 (commencing with section 12500) of division 5 of the Business and Professions Code, and registered with the county sealer pursuant to chapter 2 (commencing with section 12240) of division 5 of the Business and Professions Code.	Minor	BPC 26031 3 CCR 8213(a)	30 days
	No Cal-OSHA training (after license renewal)	Failure to employ, within one year of receiving a license, one supervisor and one employee who have completed an authorized Cal-OSHA 30-hour general industry outreach course.	Minor	BPC 26031 3 CCR 8102(bb)	30 days

	Location or dimensions of one or more areas on the premises do not match Property/Premises Diagram(s)	Failure to file a request for approval of a premises modification with the department associated with a physical modification of the licensed premises.	Minor	BPC 26031 3 CCR 8205(b)	30 days
		Licensee made physical modifications to the licensed premises that materially or substantially altered the licensed premises or use of the licensed premises from the premises diagram originally filed with the license application without receiving prior written approval from the department.	Moderate	BPC 26031 3 CCR 8205(a)	30 days
	Personal plants growing on licensed cultivation premises	Failure to prohibit cannabis plants maintained outside the designated canopy area from flowering.	Serious	BPC 26031 3 CCR 8300(a)	<b>3 days</b>
		Failure to apply UID to all individual plants at the time the plants were moved to the designated canopy area identified in the licensee's approved cultivation plan or when individual plants began flowering, as defined in section 8000(l) of this chapter.	Moderate	BPC 26031 3 CCR 8403(b)(3)	<b>3 days</b>
		Failure to move flowering cannabis plants located outside the designated canopy area(s) to the designated canopy area(s) without delay and report the movement and UID tagging in the track-and-trace system.	Serious	BPC 26031 3 CCR 8300(a)	<b>3 days</b>
	Canopy	Over canopy limit	Licensee total canopy size on licensed premises exceeded the total allowable canopy size for the license type.	Serious	BPC 26069(b)

	Mature plants outside canopy area	Failure to move flowering cannabis plants located outside the designated canopy area(s) to the designated canopy area(s) without delay and report the movement and UID tagging in the track-and-trace system.	Serious	BPC 26031 3 CCR 8300(a)	<b>3 days</b>
		Failure to prohibit cannabis plants maintained outside the designated canopy area from flowering.	Serious	BPC 26031 3 CCR 8300(a)	<b>3 days</b>
Immature plant area	Area is shared between licenses	Licensee shared area(s) outside the canopy where only immature plants shall be maintained, as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	Minor	BPC 26060 3 CCR 8106(a)(1)(B)	30 days
	More than 100 plants per lot	Licensee had more than one hundred (100) immature plants in a lot.	Moderate	BPC 26031 3 CCR 8403(b)(1)	30 days
	More than one strain per lot	Licensee had plants of more than one strain or cultivar in a lot.	Moderate	BPC 26031 3 CCR 8403(b)(1)	30 days
	Plants in lot not arranged contiguously	Failure to keep immature plants contiguous to one another to facilitate identification of the immature lot by the department.	Moderate	BPC 26031 3 CCR 8403(b)(1)	30 days
	Flowering plants present in immature area	Failure to prohibit cannabis plants maintained outside the designated canopy area from flowering.	Serious	BPC 26031 3 CCR 8300(a)	<b>3 days</b>
		Failure to move flowering cannabis plants located outside the designated canopy area(s) to the designated canopy area(s) without delay and report the movement and UID tagging in the track-and-trace system.	Serious	BPC 26031 3 CCR 8300(a)	<b>3 days</b>

	Immature plants located outside of either immature area or canopy area	Failure to keep immature plants within the boundaries of either the designated immature plant area(s) or the designated canopy area(s).	Moderate	BPC 26031 3 CCR 8106(a)(1)B)	30 days
Pesticide storage	Contains products not on Pest Management Plan	Failure to notify the department in writing within ten (10) calendar days of any changes to any item listed in the application or any of the events pursuant to section 8204(a)(1)-(5) of this chapter.	Minor	BPC 26031 3 CCR 8204(a)	30 days
	Area not secure from unauthorized access	Failure to comply with specified pesticide use requirements.	Serious	BPC 26031 3 CCR 8307(a) & (b)	30 days
	Pesticide storage is being shared with a non-contiguous license	Licensee shared designated areas between non-contiguous licenses.	Moderate	BPC 26031 3 CCR 8106(a)(1)(J)	30 days
	Pesticide storage is being shared with a license held by a different business	Licensee shared designated areas between licenses held by separate licensees.	Moderate	BPC 26031 3 CCR 8106(a)(1)(J)	30 days
	Pesticide or chemical leak	Failure to comply with specified pesticide use requirements.	Serious	BPC 26031 3 CCR 8307(a) & (b)	<b>3 days</b>
	Overapplication of pesticides	Failure to comply with specified pesticide use requirements.	Serious	BPC 26031 3 CCR 8307(a) & (b)	<b>3 days</b>
	Off-site drift of pesticides	Failure to comply with specified pesticide use requirements.	Serious	BPC 26031 3 CCR 8307(a) & (b)	<b>3 days</b>
	Pesticides reaching surface water or groundwater	Failure to comply with specified pesticide use requirements.	Serious	BPC 26031 3 CCR 8307(a) & (b)	<b>3 days</b>

	Pesticides used outside of label directions	Failure to comply with specified pesticide use requirements.	Serious	BPC 26031 3 CCR 8307(a) & (b)	<b>3 days</b>
Waste storage	Not secure from unauthorized access	Failure to dispose of cannabis waste in a secure waste receptacle or in a secured area on the licensed premises.	Moderate	BPC 26031 3 CCR 8308(d)	30 days
	Not following Waste Management Plan (different method, different hauler, etc.)	Failure to dispose of cannabis waste as identified in the licensee's approved waste management plan.	Moderate	BPC 26031 3 CCR 8108	30 days
		Failure to notify the department in writing within ten (10) calendar days of any changes to any item listed in the application or any of the events pursuant to section 8204(a)(1)-(5) of this chapter.	Minor	BPC 26031 3 CCR 8204(a)	30 days
	Waste storage is being shared with a non-contiguous license	Licensee shared designated areas between non-contiguous licenses.	Moderate	BPC 26031 3 CCR 8106(a)(1)(J)	30 days
	Waste storage is being shared with a license held by a different business	Licensee shared designated areas between licenses held by separate licensees.	Moderate	BPC 26031 3 CCR 8106(a)(1)(J)	30 days
	Hauling to a non-permitted facility or another licensed cultivation site	Failure to deposit cannabis waste at a manned, fully permitted solid waste landfill or transformation facility; manned, fully permitted composting facility or manned composting operation; manned, fully permitted in-vessel digestion facility; manned, fully permitted in-vessel digestion operation; or manned, fully permitted chip and grind operation or facility.	Moderate	BPC 26031 3 CCR 8108	30 days

	No waste hauling receipts	Failure to obtain and retain a copy of a receipt from the local agency, waste hauler franchised or contracted by the local agency, or private waste hauler permitted by the local agency evidencing subscription to a waste collection service.	Minor	BPC 26031 3 CCR 8308(g)(2)	30 days
		Failure to maintain accurate and comprehensive records regarding cannabis waste material that account for, reconcile, and evidence all activity related to the generation or disposition of cannabis waste.	Minor	BPC 26160 3 CCR 8308(k)	30 days
		Failure to maintain on the licensed premises records associated with composting or disposal of cannabis waste.	Minor	BPC 26160 3 CCR 8400(d)(11)	30 days
	No waste self-hauling receipts	Failure to obtain and retain, for each delivery of cannabis waste by the licensee, a copy of a certified weight ticket, or receipt documenting delivery, prepared by a representative(s) of a solid waste facility receiving self-hauled cannabis waste.	Minor	BPC 26031 3 CCR 8308(h)	30 days
		Failure to maintain accurate and comprehensive records regarding cannabis waste material that account for, reconcile, and evidence all activity related to the generation or disposition of cannabis waste.	Minor	BPC 26160 3 CCR 8308(k)	30 days
		Failure to maintain on the licensed premises records associated with composting or disposal of cannabis waste.	Minor	BPC 26160 3 CCR 8400(d)(11)	30 days

Administrative hold	Not present on site or in Premises Diagram (CCL19 and later licenses)	Failure to designate a physical space within which designated cannabis or nonmanufactured cannabis products can be physically segregated subject to administrative hold pursuant to section 8604.	Moderate	BPC 26031 3 CCR 8106(a)	30 days
	Area is shared between licenses	Licensee shared area(s) for physically segregating cannabis or nonmanufactured cannabis products subject to administrative hold pursuant to section 8604 of this chapter, as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	Moderate	BPC 26060 3 CCR 8106(a)(1)(I)	30 days
	Product placed on hold not moved to admin hold area	Failure to physically segregate all designated cannabis or nonmanufactured cannabis products subject to hold within twenty-four (24) hours of receipt of the notice of administrative hold.	Serious	BPC 26031 3 CCR 8604(d)(3)	<b>3 days</b>
	Transfer of product placed on administrative hold	Licensee sold, donated, transferred, transported, or destroyed cannabis or nonmanufactured cannabis products subject to hold.	Serious	BPC 26031 3 CCR 8604(d)(4)	<b>3 days</b>
Processing, packaging, and harvest storage	Processing area is shared between licenses	Licensee shared processing area(s), as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	Moderate	BPC 26060 3 CCR 8106(a)(1)(D)	30 days
	Packaging area is shared between licenses	Licensee shared packaging area(s), as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	Moderate	BPC 26060 3 CCR 8106(a)(1)(E)	30 days

	Processing occurring outside of designated area	Licensee processed cannabis on the licensed premises in an area(s) not designated for processing as identified on his or her approved cultivation plan.	Moderate	BPC 26031 3 CCR 8300(d)	30 days
	Harvest storage area shared between licenses/contains product from a different license	Licensee shared harvest storage area(s), as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	Moderate	BPC 26060 3 CCR 8106(a)(1)(H)(J)	30 days
Lighting	Does not match Lighting Diagram	Failure to notify the department in writing within ten (10) calendar days of any changes to any item listed in the application or any of the events pursuant to section 8204(a)(1)-(5) of this chapter.	Minor	BPC 26031 3 CCR 8204(a)	30 days
	Over license lighting limit	Licensee lighting (average watts per square foot of canopy) on licensed premises exceeds the maximum allowable wattage for the license type.	Serious	3 CCR 8000(t)(1)	<b>3 days</b>
	Using only artificial light on ML license	Licensee is exclusively using artificial light while operating under a Mixed-Light cultivation license.	Serious	3 CCR 8000(t)(1)	<b>3 days</b>
	Using light deprivation on Outdoor license	Licensee used light deprivation at a licensed outdoor cultivation site.	Moderate	BPC 26031 3 CCR 8202(g)	<b>3 days</b>
	No light deprivation being used on a ML license	Licensee did not use either artificial lighting or light deprivation at a licensed mixed-light cultivation site; and canopy is not located in a greenhouse, hoop-house, glasshouse, conservatory, hothouse, or similar structure as required.	Moderate	BPC 26031 3 CCR 8000(t)(1)	30 days
	Light leakage at night	Failure to comply with specified general environmental protection measures.	Serious	BPC 26031 3 CCR 8304(a)-(g)	<b>3 days</b>

Water source	Doesn't match application	Licensee used a water source that was not identified or permitted on his or her application.	Serious	BPC 26060.1(a)	<b>3 days</b>
	Additional well/water storage found	Failure to file a request for approval of a premises modification with the department associated with a physical modification of the licensed premises.	Minor	BPC 26031 3 CCR 8205(b)	30 days
		Licensee made physical modifications to the licensed premises that materially or substantially altered the licensed premises or use of the licensed premises from the premises diagram originally filed with the license application without receiving prior written approval from the department.	Moderate	BPC 26031 3 CCR 8205(a)	30 days
	Not complying with Water Code or Water Board conditions	Failure to comply with specified general environmental protection measures.	Serious	BPC 26031 3 CCR 8304(a)-(g)	<b>3 days</b>
Nursery license only	Mature plants outside of Research & Development area	Licensee conducted research and development in areas that were not identified in his or her approved cultivation plan.	Serious	BPC 26031 3 CCR 8302	30 days
	Mature plants outside of Seed Production Area	Licensee allowed plants to flower in areas not identified as being for seed production in his or her approved cultivation plan.	Serious	BPC 26031 3 CCR 8301	30 days
	R&D product transferred between licenses	Licensee allowed cannabis and nonmanufactured cannabis products from the research and development area to enter the commercial distribution chain.	Serious	BPC 26031 3 CCR 8302	<b>3 days</b>

	Mature plant product other than seeds transferred between licenses	Licensee allowed cannabis products to enter the commercial distribution chain other than seed.	Serious	BPC 26031 3 CCR 8301	<b>3 days</b>
Processor license only	Cultivation occurring on site	Processor licensee cultivated cannabis plants on his or her licensed premises.	Serious	BPC 26031 3 CCR 8303(c)	<b>3 days</b>
CCTT: UIDs and labeling	Immature plant lots not UID tagged	Failure to properly assign a UID to each lot of immature plants.	Moderate	BPC 26031 3 CCR 8403(b)(1)	<b>3 days</b>
	Lot plants not labeled with replicate IDs	Failure to label each plant in an immature lot with a label containing the UID number assigned to the immature lot by the licensee.	Moderate	BPC 26031 3 CCR 8403(b)(1)	<b>3 days</b>
	Lot UID tags not attached to immature plant lot	Licensee failed to properly place and maintain the required UID on each immature plant lot.	Moderate	BPC 26031 3 CCR 8403(b)(4)	<b>3 days</b>
	Mature plants not UID tagged	Failure to apply UID to all individual plants at the time the plants were moved to the designated canopy area identified in the licensee's approved cultivation plan or when individual plants began flowering, as defined in section 8000(l) of this chapter.	Moderate	BPC 26031 3 CCR 8403(b)(3)	<b>3 days</b>
	Unique UID sourced from incorrect immature lot	Licensee applied UID to an individual plant that was not associated with the UID for the lot of immature plants from which it was derived.	Moderate	BPC 26031 3 CCR 8403(b)(3)	30 days
	UIDs not attached properly on mature plants	Licensee failed to properly place and maintain the required UID on each mature plant.	Moderate	BPC 26031 3 CCR 8403(b)(4)	<b>3 days</b>

UIDs removed from mature plant prior to final harvest	Licensee removed UID from the mature plant to which it was attached and assigned prior to the plant being harvested, destroyed, or disposed.	Moderate	BPC 26031 3 CCR 8403(b)(4)	<b>3 days</b>
Unique harvest batch names not assigned	Failure to assign a unique harvest batch name that is associated with all UIDs for each individual plant, or portion thereof, contained in the harvest batch.	Serious	BPC 26031 3 CCR 8403(c)	30 days
No UID tags on packages	Failure to assign a UID to cannabis and nonmanufactured cannabis products corresponding to the unique harvest batch name from which the cannabis and nonmanufactured cannabis products were derived.	Serious	BPC 26031 3 CCR 8403(d)	<b>3 days</b>
UIDs not correctly applied to packages	Licensee failed to properly place and maintain the required UID on each package of cannabis product.	Moderate	BPC 26031 3 CCR 8403(b)(4)	<b>3 days</b>
Mature plants used for seed production not tagged	Failure to properly apply UIDs to cannabis plants used for seed production pursuant to section 8403 of this chapter.	Minor	BPC 26031 3 CCR 8300(b)	<b>3 days</b>
R&D plants not UID tagged	Failure to properly tag with a UID mature plants maintained in the area on the licensed premises designated for research and development	Moderate	BPC 26031 3 CCR 8302	<b>3 days</b>
Immature plants being transferred without UID tag	Licensee failed to properly apply UID to immature plants intended for retail sale.	Moderate	BPC 26031 3 CCR 8403(b)(2)	<b>3 days</b>

	UIDs not retired after disposal of plant/product	Failure to retire UIDs in the track-and-trace system associated with the destruction or disposal of cannabis or nonmanufactured cannabis products within three (3) calendar days of the destruction or disposal.	Serious	BPC 26031 3 CCR 8403(e)	30 days
	Using non-authorized UIDs	Failure to use only UIDs provisioned and distributed by the department or the department's designee.	Moderate	BPC 26031 3 CCR 8403(a)(1)	<b>3 days</b>
	Not enough UIDs for all plants	Failure to maintain a sufficient supply of UIDs to support tagging requirements.	Moderate	BPC 26031 3 CCR 8403(a)(2)	30 days
	UIDs not received in Metrc within 3 days of delivery	Failure to use track-and-trace system to document receipt of provisioned and distributed UIDs within three (3) calendar days of physical receipt of the UIDs by the licensee.	Moderate	BPC 26031 3 CCR 8403(a)(3)	30 days
	Unassigned UIDs not kept on site	Failure to maintain on the licensed premises all UIDs assigned to product in inventory and all unassigned UIDs.	Moderate	BPC 26160 3 CCR 8400(d)(5)	30 days
	Retired UIDs not retained for 6 months	Failure to retain UIDs for six (6) months after the date the tags were retired.	Minor	BPC 26160 3 CCR 8400(d)(5)	30 days
CCTT: Metrc data entry and records	CCTT supporting documentation not kept on site	Failure to maintain on the licensed premises all supporting documentation for data or information entered into the track-and-trace system.	Moderate	BPC 26160 3 CCR 8400(d)(4)	30 days
	Inventory/activity not completely/accurately entered in Metrc	Failure to accurately and completely enter data and information into the track-and-trace system	Minor	BPC 26031 3 CCR 8402(a)	<b>3 days</b>

	Changes in plant disposition not recorded within 3 days	Failure to report in the track-and-trace system information related to the disposition of cannabis and nonmanufactured cannabis products on the licensed premises within three (3) calendar days of the change in disposition.	Moderate	BPC 26031 3 CCR 8405(c)(1-5)	<b>3 days</b>
	Data entry errors not corrected within 3 days	Failure to correct data entered into the track-and-trace system in error within three (3) calendar days of discovery of the error.	Moderate	BPC 26031 3 CCR 8402(c)(5)	<b>3 days</b>
	Waste weight not entered	Failure to use track-and-trace system and documentation required pursuant to sections 8405 and 8406 of this chapter to ensure the cannabis waste materials are identified, weighed, and tracked while on the licensed premises and when disposed of pursuant to subsections (f), (g), (h), and (i) of section 8308.	Moderate	BPC 26031 3 CCR 8308(j)	30 days
	Harvest batch contains multiple strains	Failure to separate harvest batches by strain or cultivar.	Minor	3 CCR 8000(d)	30 days
	Harvest weight not entered within required time frame	Failure to record the net weight of all harvested cannabis once all drying and curing activities have been completed, or within sixty (60) calendar days from the initial harvest date, whichever is sooner.	Moderate	BPC 26031 3 CCR 8406(b)	30 days
	Processor not following CCTT requirements	Failure to adhere to track-and-trace requirements for aggregation of cannabis products pursuant to sections 8405 and 8406 of this chapter.	Moderate	BPC 26031 3 CCR 8303(a)	30 days

	Product on administrative hold not marked in Metrc	Failure to identify in the track-and-trace system cannabis or nonmanufactured cannabis products subject to an administrative hold which were voluntarily surrendered by the licensee.	Serious	BPC 26031 3 CCR 8604(d)(6)	30 days
	Product transferred without prior reporting in Metrc	Failure to report through the track-and-trace system any and all transfers of cannabis or nonmanufactured cannabis products to another licensee prior to movement of the cannabis or nonmanufactured cannabis products off the licensed premises.	Serious	BPC 26031 3 CCR 8405(a)	30 days
	Transfers not entered in Metrc	Failure to record all transfers and sales pursuant to section 8401 and 8405 of this chapter.	Moderate	BPC 26031 3 CCR 8406(e)	30 days
	Transfer manifests not on site	Failure to maintain on the licensed premises all financial records related to the licensed commercial cannabis activity, including but not limited to, bank statements, tax records, contracts, purchase orders, sales invoices, and sales receipts.	Minor	BPC 26160 3 CCR 8400(d)(6)	30 days
	Transfer manifests incomplete	Licensee failed to record all required information for each transfer of cannabis or nonmanufactured cannabis products to, or cannabis or nonmanufactured cannabis products received from, other licensed premises.	Moderate	BPC 26031 3 CCR 8405(d)(1-9)	30 days
	Licensee is not using transfer manifests	Failure to prepare a sales invoice or receipt for every sale or transport of cannabis or nonmanufactured cannabis product to another licensee.	Moderate	BPC 26031 3 CCR 8401	30 days

	Received or rejected product not reported in Metrc	Failure to report through the track-and-trace system any and all receipt or rejection of cannabis or nonmanufactured cannabis products received or rejected by the licensee on his or her licensed premises from another licensee within twenty-four (24) hours of receipt or rejection of the products.	Serious	BPC 26031 3 CCR 8405(b)	30 days
	Accepted returned product after testing	Licensee accepted returns of cannabis plants or nonmanufactured products transferred to another licensee after testing performed pursuant to section 26110 of the Business and Professions Code.	Minor	BPC 26031 3 CCR 8211	30 days
	Data deliberately falsified	Licensee, account manager, user, employee, or agent misrepresented or falsified data and information entered into the track-and-trace system.	Serious	BPC 26031 3 CCR 8404(c)	30 days
	Loss of access not reported	Failure to notify the department immediately for any loss of access that extends three (3) calendar days.	Moderate	BPC 26031 3 CCR 8402(c)(6)	30 days
	Records not kept regarding and during loss of access	Failure to prepare and maintain comprehensive records detailing all tracking inventory activities which occurred during a loss of access to the track-and-trace system for any reason.	Moderate	BPC 26031 3 CCR 8402(e)	30 days
		Failure to maintain on the licensed premises documentation associated with loss of access to the track-and-trace system prepared pursuant to section 8402(d) of this chapter.	Minor	BPC 26160 3 CCR 8400(d)(12)	30 days

	Activity not promptly entered after regaining CCTT access	Failure to enter all inventory tracking activities that occurred during a loss of access to the track-and-trace system within three (3) calendar days of the loss of access.	Moderate	BPC 26031 3 CCR 8402(e)(1)	30 days
License records	Failed to provide documents (listed in 8400(d)) for review	Failure to provide the department with ability to review all records subject to review by the department during standard business hours or at any other reasonable time as mutually agreed to by the department and the license.	Moderate	BPC 26160 3 CCR 8400(c)	30 days
	No copy of license on site	Failure to maintain on the licensed premises the department issued cultivation license.	Minor	BPC 26160 3 CCR 8400(d)(1)	30 days
	No copy of cultivation plan on site	Failure to maintain on the licensed premises the licensee's cultivation plan.	Minor	BPC 26160 3 CCR 8400(d)(2)	30 days
	No copies of water board/LSA documents on site	Failure to maintain on the licensed premises all records evidencing compliance with environmental protection measures pursuant to sections 8304, 8305, 8306, and 8307.	Minor	BPC 26160 3 CCR 8400(d)(3)	30 days
	License documentation not kept for 7 years	Failure to keep and maintain records listed in 8400(d) for at least seven (7) years from the date the document was created.	Moderate	BPC 26160 3 CCR 8400(a)	30 days
Miscellaneous serious violations	Denied inspector(s) access to premises	Applicant, licensee, its agent, or employees denied the department access to the licensed premises.	Serious	BPC 26031 3 CCR 8501(c)(1)	30 days
	Theft or diversion not reported promptly	Failure to notify the department and law enforcement authorities within three (3) calendar days of discovery of any diversion, theft, loss of, or criminal activity related to licensee's cannabis or nonmanufactured cannabis products.	Serious	BPC 26031 3 CCR 8409	30 days

	One license used for multiple premises	Failure to obtain a separate license for each premises where the person engaged in commercial cannabis cultivation.	Serious	BPC 26031 3 CCR 8202(b)	<b>3 days</b>
	Transferring plants or seeds without a nursery license	Licensee propagating immature plants for distribution or seed for distribution without a nursery license.	Serious	BPC 26031 3 CCR 8300(c)	<b>3 days</b>
	Product transferred to/from an unlicensed facility	Licensee engaged in commercial cannabis activity with an unlicensed person.	Serious	BPC 26053(a)	<b>3 days</b>
	Product transferred without a licensed distributor	Licensee transferred cannabis and nonmanufactured cannabis products from his or her licensed premises to another licensee without using a licensed distributor.	Serious	BPC 26031 3 CCR 8202(d)	<b>3 days</b>

**Table 2: Other violations**

Area	Violation Description	Type	Authority
Failure to notify the department of changes	Failure to notify the department in writing of a penalty or judgment of a criminal conviction or civil judgment rendered against the licensee or any owner within forty-eight (48) hours of receiving a penalty or judgment of a criminal penalty or civil judgment.	Minor	BPC 26031 3 CCR 8204(c)(1)
	Failure to notify the department in writing of a revocation of a local license, permit, or other authorization within forty-eight (48) hours of the revocation.	Minor	BPC 26031 3 CCR 8204(c)(2)
	Failure to notify the department in writing within 48 hours of receiving an administrative order for violations of labor standards against the licensee or any owner in his or her individual capacity.	Minor	BPC 26031 3 CCR 8204(c)(3)

	Failure to notify the department in writing within 48 hours of a change in the licensee's designated track-and-trace system account manager.	Moderate	BPC 26031 3 CCR 8204(c)(4)
	Failure of an owner's successor in interest as specified in section 8206(a) to notify the department within ten (10) calendar days of the death, incapacity, receivership, assignment for the benefit of creditors of an owner, or other event rendering a licensee incapable of performing the duties associated with the license.	Moderate	BPC 26031 3 CCR 8206(a)
	Failure to submit a new application for a change in business entity type that includes any change of ownership.	Moderate	BPC 26031 3 CCR 8204(b)
Disaster relief	Failure to move cannabis and nonmanufactured cannabis products to a secure location where access to the cannabis is restricted to the licensee, its employees, and contractors.	Minor	BPC 26031 3 CCR 8207(h)(1)
	Failure to notify the department, in writing, within twenty-four (24) hours of moving cannabis and nonmanufactured cannabis products and requesting relief pursuant to section 8207 of this chapter.	Minor	BPC 26031 3 CCR 8207(h)(2)
	Failure to provide the department access to the location where cannabis and nonmanufactured cannabis products were moved pursuant to section 8207 of this chapter.	Minor	BPC 26031 3 CCR 8207(h)(3)
	Failure to submit, in writing, a request for temporary relief that clearly indicates the statutory and regulatory sections from which relief is being requested, the time period for which the relief is requested, and the reason relief is needed, within ten (10) calendar days of moving cannabis and nonmanufactured cannabis products pursuant to section 8207 of this chapter.	Minor	BPC 26031 3 CCR 8207(h)(4)
Packaging and labeling	Failure to comply with packaging requirements.	Minor	BPC 26031 3 CCR 8212
	Failure to comply with labeling requirements.	Minor	BPC 26031 3 CCR 8212
	Failure to adhere to product packaging and labeling requirements, pursuant to section 8212 of this chapter, for nonmanufactured cannabis products.	Minor	BPC 26031 3 CCR 8303(b)

	Processing cannabis on licensee's premises without compliance to packaging or labeling requirements pursuant to section 8212 of this chapter.	Moderate	BPC 26031 3 CCR 8300(d)
CCTT	Failure to identify an owner in the licensee's organization to be the licensee's track-and-trace system account manager.	Moderate	BPC 26031 3 CCR 8402(c)
	Failure of the licensee's designated track-and-trace system account manager to complete track-and-trace system training as required by the department.	Moderate	BPC 26031 3 CCR 8402(c)(1)
	Failure to properly train all track-and-trace system users before the users were permitted to access the track-and-trace system.	Moderate	BPC 26031 3 CCR 8402(c)(2)
	Failure to maintain an accurate and complete list of all track-and-trace system users and to update the list immediately when changes occurred.	Moderate	BPC 26031 3 CCR 8402(c)(3)
	Failure to cancel a track-and-trace system user account within three (3) calendar days when that individual is no longer a representative of the licensee.	Moderate	BPC 26031 3 CCR 8402(c)(4)
	Failure to document the date and time when licensee lost access to the track-and-trace system, the cause of the loss, and when access was restored.	Moderate	BPC 26031 3 CCR 8402(e)(2)
	Licensee transferred cannabis or nonmanufactured products to a distributor without having access to the track-and-trace system.	Moderate	BPC 26031 3 CCR 8402(e)(3)
	Failure of the licensee's designated account manager to request UIDs as prescribed by the department pursuant to Article 5 of this chapter.	Moderate	BPC 26031 3 CCR 8403(a)
	Failure to obtain a unique track-and-trace system user log-on for each user entering information into the track-and-trace system.	Minor	BPC 26031 3 CCR 8404(b)
	Licensee, designated account manager, or track-and-trace system user used or shared his or her unique log-on with another person.	Minor	BPC 26031 3 CCR 8404(b)
Failure to monitor notifications and/or resolve issues included in the notification in the time frame specified in the notification.	Minor	BPC 26031 3 CCR 8404(d)	

	Failure to reconcile all on-premises and in-transit cannabis or nonmanufactured cannabis product inventories at least once every thirty (30) calendar days.	Moderate	BPC 26031 3 CCR 8406(a)
	Failure to close out physical inventory of all cannabis, nonmanufactured cannabis products, and UIDs in the track-and-trace system.	Moderate	BPC 26031 3 CCR 8406(c) & (d)
Documents and records	Licensee provided false, falsified, fraudulent, or misleading documents and records.	Serious	BPC 26031 3 CCR 8501(c)(3)
	Failure to provide records, reports, and other supporting documents.	Serious	BPC 26031 3 CCR 8501(c)(4)
	Failure to maintain on the licensed premises personnel records, including each employee's full name, social security number or individual tax payer identification number, date of employment, and, if applicable, date of termination of employment.	Minor	BPC 26160 3 CCR 8400(d)(7)
	Failure to maintain on the licensed premises records related to employee training for the track-and-trace system or other requirements of this chapter. Records shall include, but are not limited to, the date(s) training occurred, description of the training provided, and the names of the employees that received the training.	Minor	BPC 26160 3 CCR 8400(d)(8)
	Failure to maintain on the licensed premises contracts with other state licensed cannabis businesses.	Minor	BPC 26160 3 CCR 8400(d)(9)
	Failure to maintain on the premises all permits, licenses, and other authorizations to conduct the licensee's commercial cannabis activity.	Minor	BPC 26160 3 CCR 8400(d)(10)
	Failure to obtain and retain required information from the local agency, waste hauler franchised or contracted by the local agency, or private waste hauler permitted by the local agency that collects and processes the licensee's cannabis waste.	Minor	BPC 26031 3 CCR 8308(g)(1)(A-D)
Miscellaneous	Licensee continued to operate after revocation of state license.	Serious	BPC 26053(a)
	Licensee transferred or assigned his or her cultivation license to another person or property.	Serious	BPC 26031 3 CCR 8202(c)

	Licensee sublet a portion of the licensed premises.	Minor	BPC 26031 3 CCR 8202(f)
	Failure to provide additional documentation requested by the department to evaluate the request for approval of a premises modification.	Minor	BPC 26031 3 CCR 8205(c)
	Failure to allow the bureau to collect samples for the bureau's own laboratory analysis from cannabis transferred to a licensed distributor.	Moderate	BPC 26031 3 CCR 8210
	Failure to comply with specified renewable energy requirements.	Serious	BPC 26031 3 CCR 8305(a) & (b)
	Failure to comply with specified generator requirements.	Serious	BPC 26031 3 CCR 8306(a)-(d)
	Licensee provided false or misleading statements.	Serious	BPC 26031 3 CCR 8501(c)(2)
	Failure to put all cannabis and nonmanufactured cannabis products on hold into separate batches.	Serious	BPC 26031 3 CCR 8604(d)(5)