

More than \$20.0 and less or equal to \$30.0 million	\$60,000 to \$240,000
More than \$30.0 and less or equal to \$40.0 million	\$70,000 to \$280,000
More than \$40.0 and less or equal to \$50.0 million	\$80,000 to \$320,000
More than \$50.0 and less or equal to \$60.0 million	\$90,000 to \$360,000
More than \$60.0 and less than or equal to \$80.0 million	\$110,000 to \$440,000
More than \$80 million	\$150,000 to \$600,000

Minimum and Maximum Fine Amounts for Manufacturers

<u>Gross Revenue (\$ Max. Per License)</u>	<u>Minimum Fine to Maximum Fine</u>
<u>Less or equal to \$100,000</u>	<u>\$1,000 to \$4,000</u>
<u>More than \$100,000 and less or equal to \$500,000</u>	<u>\$3,750 to \$15,000</u>
<u>More than \$500,000 and less or equal to \$1.5 million</u>	<u>\$7,500 to \$30,000</u>
<u>More than \$1.5 million and less or equal to \$3.0 million</u>	<u>\$12,500 to \$50,000</u>
<u>More than \$3.0 million and less or equal to \$5.0 million</u>	<u>\$17,500 to \$70,000</u>
<u>More than \$5.0 million and less or equal to \$10.0 million</u>	<u>\$25,000 to \$100,000</u>
<u>More than \$10.0 million</u>	<u>\$37,500 to \$150,000</u>

B. Violations and Fine Amounts for all Cultivation Licenses

The following violations in Table A and Table B below are samples of the codes and regulation numbers, titles of the offenses and the associated Department determined disciplinary recommendations for all cultivation licenses. Violations are designated as “Minor,” “Moderate,” and “Serious.”

“Serious.” Violations that preclude or significantly interfere with enforcement of any state law, or those violations that cause significant false, misleading, or deceptive business practices, potential for significant level of public or environmental harm, or for any violation that is a repeat of a Moderate violation that occurred within a two-year period and that resulted in an administrative civil penalty. All Serious violations are subject to license suspension or revocation. For Table A violations, the proposed fine range is \$1,001 - \$5,000, and for Table B violations, the proposed fine range is \$10,001 - \$30,000.

“Moderate.” Violations that undermine enforcement of any state law, are likely to cause public or environmental harm, or are a repeat of a Minor violation that occurred within a two-year period and resulted in an administrative civil penalty. For Table A violations, the proposed fine range is \$501 - \$1,000, and for Table B violations, the proposed fine range is \$1,001 - \$10,000.

“Minor.” Violations that are not likely to have an adverse effect on public safety or environmental health. For Table A violations, the proposed fine range is \$100 - \$500, and

for Table B violations, the proposed fine range is \$100 - \$1,000.

Repeat violations may result in an escalation of violation class.

Table A and Table B shall be used to establish the initial level of severity of the referenced violations and the corresponding penalty range for “Serious,” “Moderate,” and “Minor” violation classes. For violations of other state laws, including state labor laws and related regulations, the Department shall utilize the definitions of “Serious,” “Moderate,” and “Minor” violations included above.

Table A

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>B&P § 26037.5</u>	<u>Engagement in commercial cannabis activity without a state license</u>	<u>Serious</u>	<u>\$1,001 – \$5,000</u>
<u>B&P § 26053(a)</u>	<u>Engagement in commercial cannabis activity with an unlicensed person</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>B&P § 26055(b)</u>	<u>Continued operation after revocation of a state license</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>B&P § 26060.1(a)</u>	<u>Licensee used a water source that was not identified on the licensee’s cultivation application</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15000.3(f)</u>	<u>Including any non-permanent structures as part of the Licensed Premises</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15000.3(g)</u>	<u>Personal Cultivation of Cannabis on Licensed Premises</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>B&P § 26060</u> <u>CCR § 15006(h)(5)(B)</u>	<u>Licensee shared area(s) outside the canopy where only immature plants shall be maintained with another cultivation license held by the licensee</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>B&P § 26060</u> <u>CCR § 15006(h)(5)(D)</u>	<u>Licensee shared processing area(s) with another cultivation license held by the licensee</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>B&P § 26060</u> <u>CCR § 15006(h)(5)(E)</u>	<u>Licensee shared packaging area(s) with another cultivation license held by the licensee</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
B&P § 26060 CCR § 15006(h)(5)(l)	Licensee shared area(s) for physically segregating cannabis or nonmanufactured cannabis products subject to an administrative hold with another cultivation license held by the licensee	Minor	\$100 - \$500
<u>CCR § 17223(b)</u>	<u>Failure to dispose of cannabis waste as specified in the licensee's written cannabis waste management plan</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17223(b)(3)</u>	<u>Failure to deposit cannabis waste at a staffedmanned, fully permitted solid waste landfill or transformation facility; staffedmanned, fully permitted composting facility or staffedmanned composting operation; staffedmanned, fully permitted in-vessel digestion facility or staffedmanned in-vessel digestion operation; staffedmanned, fully permitted transfer/processing facility or staffedmanned transfer/processing operation; staffedmanned, fully permitted chip and grind operation or facility; or recycling center as defined in title 14, CCR, section 17402.5 that meets the Department's requirements</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15000.1(a)</u>	<u>Failure to obtain a separate license for each premises at which commercial cannabis activity is conducted</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15000.1(e)</u>	<u>Licensee transferred or assigned their license to another person or premises</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15000.1(d)</u>	<u>Licensee transferred cannabis or cannabis product without using a licensed distributor</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15039(a)</u>	<u>Failure to prominently display the license on the licensed premises where it can be viewed by state and local agencies</u>	<u>Minor</u>	<u>\$100 - \$500</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 15000.4</u>	<u>Licensee sublet a portion of the licensed premises</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 16202(b)</u>	<u>Licensee used light deprivation at a licensed outdoor cultivation site</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15023</u>	<u>Failure to provide timely notice of business modifications</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 15023</u>	<u>Licensee engaged in business modification without Department approval</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15035(a)-(b)</u>	<u>Failure to notify the Department in writing of a criminal conviction, civil penalty, or judgment rendered against the licensee or any owner within 48 hours of the conviction or within 48 hours of delivery of the verdict or entry of judgement</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15035(c)</u>	<u>Failure to notify the Department in writing of an administrative order or civil judgement for violations of labor standards against the licensee or any owner within 48 hours of delivery of the order</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15035(d)</u>	<u>Failure to notify the Department in writing of a revocation of a local license, permit, or other authorization within 48 hours of receiving notice of the revocation</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15027(a)</u>	<u>Licensee made a physical change, alteration, or modification to the licensed premises that materially or substantially altered the licensed premises or the use of the licensed premises from the premises diagram originally filed with the license application without receiving prior written approval from the Department</u>	<u>Minor</u>	<u>\$100 - \$500</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 15027(f)</u>	<u>Licensee cultivator failed to file a request for approval of a physical change, alteration, or modification of the licensed premises through the online licensing system</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 15027(g)</u>	<u>Licensee failed to provide additional documentation requested by the Department to evaluate the request to modify the licensed premises</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 15024(a)</u>	<u>Failure of an owner or owner's successor in interest to notify the Department in writing within 14 calendar days of the death, incapacity, receivership, assignment for the benefit of creditors, or other event rendering one or more owners incapable of performing the duties associated with the license</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15038(h)(1)</u>	<u>Failure to move cannabis or cannabis products to a secure location where access to the cannabis or cannabis products can be restricted to the licensee, its employees, and contractors</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 15038(h)(2)</u>	<u>Failure to notify the Department in writing within 24 hours of moving cannabis or cannabis products in response to a disaster</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 15038(h)(3)</u>	<u>Failure to provide the Department access to the location where the cannabis or cannabis products were moved in response to a disaster</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 15038(h)(4)</u>	<u>Failure to submit a request for temporary disaster relief to the Department within 14 calendar days of moving the cannabis or cannabis products</u>	<u>Minor</u>	<u>\$100 - \$500</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 15048</u>	<u>Failure to request a change to the licensee's track and trace system account manager</u>	<u>Minor</u>	<u>\$501 - \$1,000</u>
<u>CCR § 17398(b)</u>	<u>Failure to comply with packaging requirements for bulk cannabis or cannabis products</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17398(c)</u>	<u>Failure to comply with labeling requirements for bulk cannabis or cannabis products</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17399(b)</u>	<u>Failure to comply with labeling requirements for immature plants</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17400</u>	<u>Failure to comply with labeling requirements for seeds</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17402</u>	<u>Failure to comply with general labeling requirements</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17403</u>	<u>Failure to comply with primary panel labeling requirements for nonmanufactured cannabis goods</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17407</u>	<u>Failure to comply with cannabinoid content labeling requirements</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17408</u>	<u>Failure to comply with labeling restrictions</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17410</u>	<u>Failure to comply with universal symbol requirements</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17411</u>	<u>Failure to comply with packaging requirements</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17412</u>	<u>Failure to comply with child-resistant packaging requirements</u>	<u>Minor</u>	<u>\$100 - \$500</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 17221(a)</u>	<u>Failure to use weighing devices approved, tested, and sealed in accordance with the requirements in Business and Professions Code, division 5, chapter 5 (commencing with section 12500) and its implementing regulations, and registered with the county sealer consistent with Business and Professions Code, division 5, chapter 2 (commencing with section 12240) and its implementing regulations</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17221(b)</u>	<u>Licensee failed to have a licensed weighmaster determine the weight, measure, or count of cannabis or cannabis products</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17221(c)</u>	<u>Failure to obtain a weighmaster certificate</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 16300(a)</u>	<u>Failure to prohibit cannabis plants maintained outside the designated canopy area(s) from flowering</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16300(a)</u>	<u>Failure to move flowering cannabis plants located outside the designated canopy area(s) to the designated canopy area(s) and report the movement in the track and trace system without delay</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16300(b)</u>	<u>Failure to properly apply UIDs to cannabis plants used for seed production</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 16300(c)</u>	<u>Licensee propagated immature plants for distribution or seed for distribution without obtaining a nurse license</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16300(d)</u>	<u>Licensee processed cannabis in an area(s) not designated for processing as identified in the cultivation plan</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 16301</u>	<u>Failure to properly apply UIDs to mature cannabis plants used for seed production</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16301</u>	<u>Licensee allowed cannabis products other than seed, derived from mature plants, to enter the commercial distribution chain</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16302</u>	<u>Licensee conducted research and development in areas that were not identified in the cultivation plan</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16302</u>	<u>Failure to properly tag mature plants pursuant to section 15048.4</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 16302</u>	<u>Licensee allowed cannabis and cannabis products from the research and development area to enter the commercial distribution chain or to be transferred off the licensed premises</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16303(a)(1)</u>	<u>Failure to adhere to track and trace requirements for aggregation of cannabis product</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 16303(a)(3)</u>	<u>Processor licensee cultivated cannabis plants on their licensed premises</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16304</u>	<u>Failure to comply with specified environmental protection measures</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16305</u>	<u>Failure to comply with specified renewable energy requirements</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16306</u>	<u>Failure to comply with specified generator requirements</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16307</u>	<u>Failure to comply with specified pesticide use requirements</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 16308</u>	<u>Failure to comply with canopy requirements</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 17223(c)</u>	<u>Failure to maintain dispose of cannabis waste in a secured waste receptacle or secured area on the licensed premises</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 17223(d)</u>	<u>Failure to obtain required documentation from the local agency, waste hauler franchised or contracted by the local agency, or private waste hauler permitted by the local agency</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17223(e)(3)</u>	<u>Failure to obtain a copy of a certified weight ticket or receipt for each delivery of cannabis waste</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 17223(f)</u>	<u>Failure to comply with disposal requirements for a failed batch of cannabis or cannabis products</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15047.2</u>	<u>Failure to accurately enter data and information into the track and trace system</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048(a)</u>	<u>Failure to identify an owner of the commercial cannabis business as the track and trace system account manager</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048(b)</u>	<u>Failure of the licensee's designated track and trace system account manager to complete track and trace system training</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048(c)</u>	<u>Failure to utilize a unique track and trace system login for the account manager and each user entering information into the track and trace system</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 15048(c)</u>	<u>Account manager or user shared their login, username, or password with another person</u>	<u>Minor</u>	<u>\$100 - \$500</u>
<u>CCR § 15048.1(a)</u>	<u>Failure to properly train all track and trace system users before the users were permitted to access the track and trace system</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 15048.1(a)(2)</u>	<u>Failure to maintain an accurate and complete list of all track and trace system users and update the list immediately when changes occurred</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.1(a)(3)</u>	<u>Failure to remove a user from the licensee's track and trace system account</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.1(a)(4)</u>	<u>Failure to correct data entry errors in the track and trace system within three (3) calendar days of discovery of the error</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.1(a)(7)</u>	<u>Failure to notify the Department of any loss of access to the track and trace system that exceeds 72 hours</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15050(a)</u>	<u>Failure to prepare and maintain comprehensive records detailing all commercial cannabis activities that were conducted during a loss of access to the track and trace system</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15050(b)</u>	<u>Licensee transported initiated transport for, or received any cannabis or cannabis products without having access to the track and trace system</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15050(c)(1)</u>	<u>Failure to enter all commercial cannabis activities that occurred during a loss of access to the track and trace system within 3 calendar days of the loss of access</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15050(c)(2)</u>	<u>Failure to document the dates and times when licensee lost access to the track and trace system, the cause of the loss of access, and when access was restored</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.3(a)</u>	<u>Failure of the licensee's account manager to order plant or package tags within ten (10) calendar days of initial</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
	<u>credentialing into the track and trace system</u>		
<u>CCR § 15048.2(a)</u>	<u>Failure to use plant or package tags provided and distributed by the Department or the Department's designee</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.2(c)</u>	<u>Failure to maintain a sufficient supply of tags to support tagging requirements</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.3(a)(2)</u>	<u>Failure to document receipt of plant or package tags in the track and trace system within three (3) calendar days of receipt or notify the Department that the ordered tags were not received</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.4(a)(1)</u>	<u>Failure to properly assign a plant tag to each lot of immature plants</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.4(a)(1)</u>	<u>Licensee had more than 100 individual immature plants in a lot</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.4(a)(1)(A)</u>	<u>Failure to place immature plants contiguous to one another to facilitate identification by the Department.</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.4(a)(1)(A)</u>	<u>Failure to label each immature plant in a lot with the UID number</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.4(a)(1)(B)</u>	<u>Failure to fully separate a lot from other immature or mature plants with a physical barrier</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.4(a)(2)</u>	<u>Licensee failed to label immature plants transported from a licensed nursery for retail sale with the UID number of the immature lot. Licensee failed to remove the licensed nursery's package tag and assign a plant or package tag belonging to the receiving licensee within 3 calendar days of receiving the immature plants.</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 15048.4(a)(2)</u>	<u>Licensee applied a UID to an individual plant that was not associated with the UID for the lot of immature plants from which it was derived</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.4(a)(3)</u>	<u>Failure to apply a plant tag to each individual plant at the time the plant was moved to the designated canopy area or when the plant began flowering</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.4(b)(1)</u>	<u>Licensee failed to properly attach and maintain the plant tags on each mature plant</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.4(b)(2)</u>	<u>Licensee removed the plant tag from the mature plant to which it was attached and assigned prior to the plant being harvested, destroyed, or disposed of</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.5(a)</u>	<u>Failure to assign a unique harvest batch name for all harvested plants that are hanging, drying, and curing or failure to place the harvest batch name within clear view of an individual standing next to the batch</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.5(b)</u>	<u>Failure to assign a package tag to each harvest batch or manufactured cannabis batch</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15048.5(bc)</u>	<u>Failure to affix or label batches held in containers with the package tag or UID number or failure to place all containers associated with the same UID contiguous to one another to facilitate identification by the Department</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15047.2(d)</u>	<u>Intentional misrepresentation or falsification of information entered into the track and trace system</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15048.1(a)(6)</u>	<u>Failure to monitor all track and trace system notifications and/or resolve all issues identified in the notification</u>	<u>Minor</u>	<u>\$100 - \$500</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 15049.2(a)</u>	<u>Failure to prepare a shipping manifest through the track and trace system prior to transferring cannabis or cannabis products off of a licensed premises</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15049.2</u>	<u>Failure to report receipt or rejection of cannabis or cannabis products in the track and trace system</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15049.1</u>	<u>Failure to report information related to the disposition of cannabis and cannabis products on the licensed premises in the track and trace system within three (3) calendar days of the change in disposition or to indicate that the harvest batch is finished</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15049.2</u>	<u>Licensee failed to record all required information on the shipping manifest</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15051(a)(1)</u>	<u>Failure to reconcile all on-hand inventory of cannabis and cannabis products</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15049.1(c)</u>	<u>Failure to indicate in the track and trace system that the harvest is finished after the entire harvest batch has completed drying, trimming, and curing, and has been packaged</u>	<u>Moderate</u>	<u>\$501 - \$1,000</u>
<u>CCR § 15036(a)</u>	<u>Failure to notify the Department and law enforcement authorities within 24 hours of discovery of any diversion, theft, loss, or any other criminal activity related to the licensee's cannabis or cannabis products</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 15000.3(d)</u> <u>CCR § 17800</u>	<u>Denial of Department access to the licensed premises</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>B&P § 26039.3</u> <u>CCR § 17801.5</u>	<u>Violation of an embargo</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Class</u>	<u>Fine Range</u>
<u>CCR § 17815(e)(1)</u>	<u>Failure to physically segregate all designated cannabis goods subject to hold within twenty-four (24) hours after receipt of notice of the administrative hold</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 17815(e)(2)</u>	<u>Licensee sold, donated, transferred, transported, gifted, or destroyed cannabis goods subject to an administrative hold</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>
<u>CCR § 17815(e)(3)</u>	<u>Failure to place all harvested cannabis on administrative hold into separate batches</u>	<u>Serious</u>	<u>\$1,001 – \$5,000</u>
<u>CCR § 17815(e)(4)</u>	<u>Failure to identify cannabis goods being voluntarily surrendered in response to an administrative hold in the track and trace system</u>	<u>Serious</u>	<u>\$1,001 - \$5,000</u>

Table B

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Type</u>	<u>Fine Range</u>
<u>B&P § 26160</u> <u>CCR § 15037(a)</u>	<u>Failure to keep and maintain records in connection with the licensed commercial cannabis business</u>	<u>Minor</u>	<u>\$100 - \$1,000</u>
<u>B&P § 26160</u> <u>CCR § 15037(a)</u>	<u>Failure to keep and maintain records for at least seven years from the date the record was created unless a shorter time is specified</u>	<u>Moderate</u>	<u>\$1,001 - \$10,000</u>
<u>B&P § 26160</u> <u>CCR § 17800</u>	<u>Failure to provide or deliver required records to the Department upon request</u>	<u>Minor</u>	<u>\$100 - \$1,000</u>
<u>B&P § 26160</u> <u>CCR § 17800</u>	<u>Failure to provide the Department with access to examine licensee records</u>	<u>Minor</u>	<u>\$100 - \$1,000</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Type</u>	<u>Fine Range</u>
<u>B&P § 26160</u> <u>CCR § 15037(a)(1)</u>	<u>Failure to keep and maintain on the licensed premises all financial records in connection with the licensed commercial cannabis business, including but not limited to, bank statements, sales invoices, receipts, tax records, and all records required by the California Department of Tax and Fee Administration (formerly Board of Equalization) under title 18, California Code of Regulations, sections 1698 and 4901</u>	<u>Minor</u>	<u>\$100 - \$1,000</u>
<u>B&P § 26160</u> <u>CCR § 15037(a)(2)</u>	<u>Failure to keep and maintain on the licensed premises personnel records, including each employee's full name, Social Security number or individual taxpayer identification number, date employment began, and date of termination of employment, if applicable</u>	<u>Minor</u>	<u>\$100 - \$1,000</u>
<u>B&P § 26160</u> <u>CCR § 15037(a)(3)</u>	<u>Failure to keep and maintain on the licensed premises training records including, but not limited to, the content of the training provided and the names of the employees who received the training</u>	<u>Minor</u>	<u>\$100 - \$1,000</u>
<u>B&P § 26160</u> <u>CCR § 15037(a)(4)</u>	<u>Failure to keep and maintain on the licensed premises all contracts regarding commercial cannabis activity</u>	<u>Minor</u>	<u>\$100 - \$1,000</u>
<u>B&P § 26160</u> <u>CCR § 15037(a)(5)</u>	<u>Failure to keep and maintain on the licensed premises the permits, licenses, or other local authorizations to conduct commercial cannabis activity</u>	<u>Minor</u>	<u>\$100 - \$1,000</u>
<u>B&P § 26160</u> <u>CCR § 15037(a)(6)</u>	<u>Failure to keep and maintain on the licenses premises all other documents prepared or executed by an owner or their employees or assignees in connection with the licensed commercial cannabis business</u>	<u>Minor</u>	<u>\$100-\$1,000</u>

<u>Authority</u>	<u>Description of Violation</u>	<u>Violation Type</u>	<u>Fine Range</u>
<u>B&P § 26161</u>	<u>Failure to prepare a sales invoice or receipt for every sale or transport of cannabis or cannabis products</u>	<u>Minor</u>	<u>\$100 - \$1,000</u>

IV. STANDARD CONDITIONS OF PROBATION

The protection of the public is the highest priority of the Department. In disciplinary matters where probation has been imposed, the Department believes the conditions of probation will help ensure public protection and allow the probationer the opportunity to demonstrate rehabilitation. The following conditions of probation provide for consumer protection and establish a mechanism to monitor the rehabilitation progress of a probationer. Generally, the Department recommends a minimum of three (3) years' probation.

Introductory Language and Conditions 1-9 are required as follows:

1. OBEY LAWS

Respondent shall obey all state and local laws. A full and detailed account of any and all violations of law shall be reported by the respondent to the Department in writing within seventy-two (72) hours of occurrence. To permit monitoring of compliance with this condition, respondent shall submit completed fingerprint forms and fingerprint fees within 45 days of the effective date of the decision, unless previously submitted as part of the licensure application process.

CRIMINAL COURT ORDERS: If respondent, or an owner of the respondent, is under criminal court orders, including probation or parole, and the order is violated, this shall be deemed a violation of these probation conditions, and may result in the filing of an accusation and/or petition to revoke probation.

2. SUBMIT WRITTEN REPORTS

Respondent, during the period of probation, shall submit or cause to be submitted such written reports/declarations and verification of actions under penalty of perjury, as required by the Department, but no more frequently than once each calendar quarter. These reports/declarations shall contain statements relative to respondent's compliance with all the conditions of the Department's Probation Program.

Respondent shall immediately execute all release of information forms as may be required by the Department or its representatives.

3. REPORT IN PERSON

Respondent, during the period of probation, through its designated owner or owners, shall appear in person at interviews/meetings as directed by the Department or its representatives.